Ø 001

### RECEIVED CENTRAL FAX CENTER

FEB 1 2 2004

# OFFICIAL

# FACSIMILE COVER SHEET

Licata & Tyrrell P.C.

66 E. Main Street Marlton, New Jersey

Tel: (856) 810-1515 Fax: (856) 810-1454

February /2, 2004

TO: Examiner Shahnan Shah (TC1600)

**GROUP: 1645** 

FAX NUMBER: 703-872-9306

ATTORNEY DOCKET NO.: DC-0199

**SERIAL NO.: 10/043,539** 

FILED: January 11, 2002

NUMBER OF PAGES: 13

MESSAGE: Attached please find Executed Power of Attorney with Revocation; Executed Certificate Under 37 CFR 3.73 (b) and Certificate of Transmission by Facsimile.

Kathleen A. Tyrrell, Registration No. 38,350

URGENT! PLEASE DELIVER IMMEDIATELY UPON RECEIPT. THANK YOU!

If you have any questions, or did not receive the proper number of pages, or had trouble during transmission, please call 856-810-1515.

#### CONFIDENTIALITY NOTICE

The information contained in this factimite message is legally privileged and confidential, and intended only for the use of the individual(s) and/or entity(ics) named above. If you are not the intended recipient, you are hereby notified that any unauthorized disclosure, copying distribution or taking of any action in reliance on the contents of the telecopied materials is strictly prohibited and review by any individual other than the intended recipient shall not constitute waiver of the attorney client privilege. If you have received this transmission in error, please immediately notify us by telephone in order to arrange for the return of the materials. Thank

			Docket No.		
CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			DC-0199		
Applicant(s): Cheung et al.					
Serial No. 10/043,539	Filing Date January 11, 2002	Examiner Shahnan Shah, Khatol S	Group Art Unit ol S 1645		
Invention: Composition	s and Methods for Affecting Viru	ilence Determinants in Bacteria			
I hereby certify that this		rith Revocation; Certificate Un (Identify type of correspondence)			
is being facsimile transi	mitted to the United States Pater	IL BING TRACEINER OF CO. D.			
On February (Dave					
		Kathleen A	. Tyrrell		
·		(Typed or Printed Name of Per MANULA A (Significant)	rson Signing Certificate)		
	Note: Each paper must l	have its own certificate of mailing.			

TRANSMITTAL LETTER (General - Patent Pending)				Docket No. DC-0199	
In Re Application Of: C	Choung et al.				
Serial No. 10/043,539	Filing Date January 11, 2002	Examine Shahnan Shah, l	- 1	Group Art Unit 1645	
Title: Compositions an	d Methods for Affecting Virulence	Determinates in Bact	eria		
	TO THE COMMISS	IONER FOR PATEN	<u>'S:</u>		
Transmitted herewith is:					
Executed Power of Att Executed Certificate U	orney with Revocation; Inder 37 CFR 3.73 (b) with a copy	of Recorded Assignm	ent.		
as described bel ☐ Charge  ☑ Credit a	is required. mount of is att ereby authorized to charge and	ached. credit Deposit Accoun	t No.	50-1619	
Kathicen A. Tyrrell, Re	A. Juwell Signature g. No. 38,350	Dated: Februar	y/2, 2004		
LICATA & TYRRELL 66 East Main Street Marlton, N.J. 08053 Tel: 856-810-1515 Fax: 856-810-1454	P.C.	on first cla	iss mall under 37 ( Issioner for Patent	ment and fee is being deposited with the U.S. Postal Service as C.F.R. 1.8 and is addressed to the s, P.O. Box 1450, Alexandria, VA	
cc:		Тур		on Mailing Correspondence of Person Mailing Correspondence	

## RECEIVED CENTRAL FAX CENTER

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEB 1 2 2004

Attorney Docket No.:

11312-006-999 (DC-0199)

Inventors:

Cheung et al.

Serial No.:

10/043,539

Filing Date:

January 11, 2002

Examiner:

Not Yet Assigned

Group Art Unit:

1645

Title:

Compositions and Methods for

Affecting Virulence Determinants in

Bacteria

U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22213-1450

Dear Sir:

## POWER OF ATTORNEY WITH REVOCATION

Revoking any and all powers of attorney heretofore given in the matter of the above-entitled application, the undersigned, assignee of the entire interest in the above-identified application, hereby appoints Licata & Tyrrell P.C., Jane Massey Licata, Registration No. 32,257, Kathleen A. Tyrrell, Registration No. 38,350, Laura Plunkett, Registration No. 45,015, and, Bridget C. Sciamanna, Registration No. 47,333, of Marlton, New Jersey, as attorney, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office connected therewith.

Send all future correspondence and address all telephone calls to:

> Jane Massey Licata, Esquire Licata & Tyrrell P.C.

66 E. Main Street

Marlton, New Jersey 08053

Phone: (856) 810-1515

Fax:

(856) 810-1454

Respectfully submitted,

Name:

Alla Kan Director

Title:

Technology Transfer Office Trustees of Dartmouth College

→ PTOBF

Date: Oct. 27, 2003

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.:

11312-006-999 (DC-0199)

Inventors:

Cheung et al.

Serial No.:

10/043,539

Filing Date:

January 11, 2002

Examiner:

Not Yet Assigned

Group Art Unit:

1645

Title:

Compositions and Methods for Affecting Virulence Determinants in Bacteria

#### CERTIFICATE UNDER 37 CFR 3.73(b)

Trustees of Dartmouth College, a corporation certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. The assignment is attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own belief are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date

Alla Kan Typed or printed name

Director Technology Transfer Office Title



2305

JUNE 23, 2003

PTAS

Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov

PENNIE & EDMONDS LLP THOMAS E. FRIEBEL 1155 AVENUE OF AMERICAS NEW YORK, NY 10036-2711



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/10/2003

REEL/FRAME: 013750/0563

NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHEUNG, AMBROSE

DOC DATE: 01/30/2003

ASSIGNOR:

MANNA, ADAR

DOC DATE: 01/30/2003

ASSIGNOR:

ZHANG, GONGYI

DOC DATE: 02/07/2003

ASSIGNEE:

TRUSTEES OF DARTMOUTH COLLEGE 11 ROPE FERRY ROAD

HANOVER, NEW HAMPSHIRE 03755

SERIAL NUMBER: 10043539 N

ISSUE DATE:

FILING DATE: 01/11/2002

PATENT NUMBER:

013750/0563 PAGE 2

SAUNDRA BALLENGER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS 

Attorney Docket Number 11312-006  PATENTS AND TRADEMARKS  nt 20231  Il documents or copy thereof.  Name and address of receiving party(ies):  Name: Trustees of Dartmouth College  Address: 11 Rope Ferry Road  Hanover, New Hampshire 03755  Country (if other than USA):
Name and address of receiving party(ies):  Name: Trustees of Dartmouth College  Address: 11 Rope Ferry Road  Hanover, New Hampshire 03755
Name: Trustees of Dartmouth College  Address: 11 Rope Ferry Road  Hanover, New Hampshire 03755
date of the application is:  B. Parent No.(s)  sched? □ Yes S No
Number of applications and patents involved: One  7. Total fee (37 CFR 3.41): \$\frac{40.00}{\text{Please charge to the deposit account listed in Section 8.}}  8. Deposit account number: \$\frac{16-1150}{\text{150}}\$
THIS SPACE
re and correct and any attached every is a true copy of the original  February 10, 2003  Date  number of pages including cover sheet:  5
T

02/14/2003 LHUELLER 00000077 161150

10043539

01 FC:8021

40.00 CH

The second secon

JOINT

#### ASSIGNMENT

ASSIGNMENT.
WHEREAS, WE, Ambrose Cheung, Adar Manna and Gongyi Zhang, ASSIGNORS, citizens of the United States, residing at 3 Webster Terrace, Hanover, New Hampshire 03755, 24 Parkhurst Street, Lebanon, New Hampshire 03766 and 3635 Hibiscus Way, Denver, Colorado 80273, are the inventors of the invention in Compositions and Methods for Affecting Virulence Determinants in Bacteria for which we have executed an application for a Patent of the United States
which is executed on ceven date herewith or
which is identified by Pennie & Edmonds up docket no. 11312-006 which was filed on January 11, 2002, Application No. 10/043,539 like in the state of Pennie & Edmonds, LLP, to insert here in parentheses (Application I hereby authorize and request my attorney, of Pennie & Edmonds, LLP, to insert here in parentheses (Application I hereby authorize and request my attorney, the filing date and application number of said application when known.    The state of t
and WHEREAS, Trustees of Dartmouth College, ASSIGNEB is desirous of obtaining our entire right, title and interest at, to
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, valuable consideration, the receipt of which is hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said invention, and the said successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said invention, he granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, be granted thereon and all reissues and the right to claim for any country or countries foreign to the United States, together with the right to file such applications and the right to claim for any country rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws International Convention for the Protection of Industrial Property, or any other international property protection of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;
AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legater representatives and assigns, in accordance with the terms of this instrument.
AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.
AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally deeverything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.
IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective
signatures.
Date, 2003 Ambrose Cheung
State of )
County of SS.:
Notary Publi
to me on the basis of satisfactory evidence to
personally appeared personally known to help appeared the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
WITNESS my hand and official seal
State of SS.:

TO SERVICE CONTRACTOR OF THE SERVICE OF THE SERVICE

					L.S.
Date	2003	Adar Manna			
State of	SS.:				
County of )					
On	2003, befined to to the sacity (ies), and the cated, execute	ore me,, person the within instrumental by his/her/thein d the instrument.	mally known to me or ent and acknowledged r signature(s) on the in	n the basis of satisfact d to me that he/she/they astrument the person(s)	, Notary Public, ory evidence to be executed the same , or the entity upon
WITNESS my hand	l and official se	<b>al</b>			
State of Colorect State of Col	7, 2003	Gongyi Zhang	Departs	Case	L.S.
personally appeared the person(s) whose name(s in his/her/their authorized cobehalf of which the person	pacity(ies), and	that by his/her/the	ir signature(s) on the	on the basis of satisfaced to me that he/she/the instrument the person(	ctory evidence to be y executed the same s), or the entity upor
WITNESS my har State of County of	nd and official s	cal	NOTAR NOTAR PUBLI		
County or	,		MY COMMISSION May 3, 200		

JOINT

ASSIGNMENT
WHEREAS, WE, Ambrose Cheung, Adar Manna and Gongyi Zhang, ASSIGNORS, citizens of the United States, residing at 3 Webster Terracc, Hanover, New Hampshire 03755, 24 Parkhurst Street, Lebanon, New Hampshire 03766 and 3635 Hibiscus Way, Denver, Colorado 80273, are the inventors of the invention in Compositions and Methods for Affecting Virulence Determinants in Bacteria for which we have executed an application for a Patent of the United States
□ which is executed on □ even date herewith or □
which is identified by Pennie & Edmonds up docket no. 11312-006 which was filed on January 11, 2002, Application No. 10/043,539 like in the interior in parentheses (Application I hereby authorize and request my attorney, of Pennie & Edmonds, LLP, to insert here in parentheses (Application I hereby authorize and request my attorney, of Pennie & Edmonds, LLP, to insert here in parentheses (Application number, filed) the filing date and application number of said application when known.
and WHEREAS, Trustees of Dartmouth College, ASSIGNEE is desirous of obtaining our entire right, thie and interest in, to
NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said united States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filled for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for any country or countries foreign to the United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws International Convention for the Protection is filled, as may be applicable; and all forms of Industrial property protection, of the country in which any such application is filed, as may be applicable; and all forms of Industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;
AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.
AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.
AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally deleverything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.
IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective
IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.  Date
Date Ambrose Cheung
State of New Arthur See
State of New SS.:  County of Graffon  Norma Public
County of Graffon  On Jan. 30  , 2003, before me, Patti Beaulieu  Notary Public personally appeared Ambrese Cheung personally known to me on the basis of satisfactory evidence to be personally appeared Ambrese Cheung personally known to me on the basis of satisfactory evidence to be personally appeared Ambrese Cheung personally known to me on the basis of satisfactory evidence to be personally appeared.
personally appeared
behalf of which me person(s) holes, skeeper and any
WITNESS my hand and official seal
State of New 25hi/2 SS.: PATTI SEPULIEU, Commissionar of Deeds County of My Commission Expires August 9, 2005

THE PARTY OF THE P

State of County of → PTOBF

Notary Public, Jan. 30 2003, before me, personally appeared Hann personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS may hand and official seal PATTI BEAULIEU, Commissioner of Deeds My Commission Expires August S. 2005 State of County of LS. 2003 Date Gongyi Zhang State of County of , Notary Public, 2003, before me, On , personally known to me on the basis of satisfactory evidence to be personally appeared the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. WITNESS my hand and official seal